



# DOWJONES | GLOBAL COMPLIANCE SYMPOSIUM

## WINNING STRATEGIES FOR Navigating Rapid Change

March 27 & 28, 2012 | Park Hyatt | Washington, DC

Through interviews led by top editors from Dow Jones and *The Wall Street Journal*, you will gain valuable insight and learn practical strategies for developing strong compliance programs that help avoid wrongdoing, protect against regulatory violations, and promote an ethical culture.

Join unique sessions on:

- **Compliance in emerging markets** like Brazil, China, Russia and Africa
- **Global regulatory issues** like compliance with the FCPA, Dodd-Frank whistleblower rules, and the effects of the UK Bribery Act.
- **Organizational compliance challenges** like training, social media, gifts, and monitoring.



Featuring participation by senior executives and compliance officers from:

3M | AES | Aviva plc | Barclays Capital | Best Buy | BP | Celent | Club Med | Credit Suisse | Deutsche Bank  
DHL Americas | DuPont | GE Capital | General Electric | Google | Halliburton | Hitachi Data Systems | IBM | Intel  
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# Why Attend?

## Who Should Attend the Global Compliance Symposium?

This two-day networking and learning event will convene a critical mass of top corporate executives and compliance officers. Participants at the conference include:

- Compliance officers
- Risk officers
- Board members
- General counsels
- Ethics officers
- Legal professionals
- Insider trading, AML & sanctions officers & heads
- Compliance consultants



## What will I gain from the Global Compliance Symposium?

This comprehensive compliance conference features an unmatched program and roster of experts who will candidly share their experiences and perspectives of key trends and issues in governance, risk and compliance. You'll walk away with a clear understanding of which strategies work and which don't, as well as fresh insights into today's best practices.

Focused breakout sessions, one-on-one interviews and panel discussions offer a complete view of the most important topics in global compliance today, including:

- **Compliance in Global Markets:** Discuss how to build compliance programs that work on a global scale in highly regulated environments like Europe and in emerging markets such as Brazil, China, Russia and Africa.
- **The Regulatory Front:** Find out how to manage the new whistleblower provisions of Dodd-Frank and comply with the FCPA, plus examine the effects of the new U.K. Bribery Act.
- **Training:** Learn from compliance officers at large corporations about how to enliven compliance training and get employees engaged in the process.
- **Gifts:** Participate in a panel discussion and Q&A session about setting limits for giving and receiving.

## What are the Benefits of Attending?

You'll gain essential insight on how to steer companies clear of trouble, especially as the regulatory environment grows more complicated. You'll receive actionable strategies directly from corporate leaders and government officials dealing with the most critical governance, compliance and ethics issues. Plus, you'll gain meaningful connections with industry leaders.

Benefit from:

- **A comprehensive program:** This conference offers the most comprehensive review of the issues and challenges facing compliance officers on a global scale. You'll hear from an unmatched roster of compliance experts who have been responsible for building global compliance programs at some of the world's largest institutions.
- **Dow Jones Expertise:** Experience editorially-guided sessions led by experts from Dow Jones & Co., *The Wall Street Journal*, and Dow Jones Risk & Compliance — the leaders in anti-corruption and business information.
- **Networking:** This event will provide valued face time with top-tier government officials and corporate leaders through structured and unstructured opportunities that will allow you to develop and deepen professional relationships. Organized roundtables and breakout sessions, plus breakfasts, conference breaks, and an evening cocktail reception give you numerous chances to form connections.
- **Continuing Legal Education Credits:** Dow Jones Conferences has an application pending with the New York State Continuing Legal Education Board for program accreditation. CLE credits are available for most other states and may vary depending on your state's rules and regulations.

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# Featured Speakers



**George Canellos**  
Regional Director, New York, **U.S. Securities and Exchange Commission**

As Director of the New York Regional Office of the U.S. Securities and Exchange Commission, Mr. Canellos oversees nearly 400 professional staff of enforcement attorneys, accountants, investigators and compliance examiners engaged in the investigation and prosecution of enforcement actions and the performance of compliance inspections in the region. During his featured interview, Mr. Canellos will discuss new rules set forth by the SEC, how those rules will be applied and enforced, and ways for companies to remain compliant.



**Suzanne Rich Folsom**  
SVP, Chief Regulatory & Compliance Officer and Deputy General Counsel, **ACADEMI LLC**

As ACADEMI's Senior Vice President, Chief Regulatory & Compliance Officer and Deputy General Counsel, Ms. Folsom is responsible for establishing an industry-leading governance and compliance program as part of the firm's latest chapter of expansion and growth. She joins Jon Shapiro of Aon Corporation for a featured panel that will cover what steps companies can take to encourage ethical behavior, lessen the likelihood of violations and build effective compliance programs.



**Odell Guyton**  
Senior Corporate Attorney, Director of Compliance, **Microsoft Corporation**

Responsible for establishing and maintaining an effective global compliance and ethics program for Microsoft, Mr. Guyton also represents the company in internal and external matters as they relate to corporate compliance and advancing the company's Anti-Corruption compliance initiatives worldwide. He will discuss Microsoft's compliance programs and what it's doing on a global scale to remain compliant with government agencies both here and abroad.



**Ann Marie Hanrahan**  
VP & Associate General Counsel, Global Compliance and Business Conduct, **3M Company**

Responsible for 3M's global compliance program, Ms. Hanrahan also chairs the company's Business Conduct Committee. She will join Andy Hinton of Google and Jo Levy of Intel on a panel to discuss the steps that they and their companies are considering and taking to avoid not only FCPA complaints, but also other problems such as insider trading, inappropriate social media postings and anti-trust issues.



**Ben Heineman**  
Distinguished Senior Fellow, **Harvard Law School**

A Distinguished Senior Fellow at Harvard Law School, and former General Counsel of GE, Mr. Heineman has extensive experience with corporate governance and ethics and is the author of *High Performance with High Integrity*. He'll take the stage at GCS to share his views on creating an ethical business culture and discuss how his firm is dealing with the FCPA, insider trading and more.



**Andy Hinton**  
Associate General Counsel & Chief Compliance Officer, **Google Inc.**

Mr. Hinton leads the company's efforts to identify and mitigate compliance risk and to nurture and expand upon the company's core value of "Don't be evil." At the Global Compliance Symposium, he'll join Jo Levy of Intel and Ann Marie Hanrahan of 3M to discuss how his firm is dealing with the FCPA, insider trading and more.



**Jo Levy**  
Global Director of Ethics & Legal Compliance, **Intel Corporation**

A member of Intel's Ethics & Compliance Oversight Committee, as well as the Global Director of Legal Compliance, Ms. Levy oversees Intel's legal compliance programs, including the worldwide antitrust and anti-corruption compliance programs, and internal investigations directed by the Legal & Corporate Affairs Group. She joins Andy Hinton of Google and Ann Marie Hanrahan of 3M to discuss the compliance challenges companies face today and how Intel is managing the risks.



**Michael B. Mukasey**  
Former U.S. Attorney General

As Attorney General of the United States from November 2007 to January 2009, Mr. Mukasey oversaw the U.S. Department of Justice and advised on critical issues of domestic and international law. He comes to the Global Compliance Symposium to provide his perspective on the FCPA and how companies can effectively deal with the SEC and DOJ.



**Jon Shapiro**  
Assistant Vice President, Program Management, **Aon Corporation**

Mr. Shapiro is the Global Financial Crime Leader for Aon Corporation and is responsible for Aon's Financial Crime program including anticorruption, trade sanctions, money laundering, fraud and other areas of employee conduct. He joins Suzanne Folsom of ACADEMI LLC to discuss the corporate culture and steps that can be taken to encourage ethical behavior among employees and lessen the likelihood of violations.

For complete speaker information, please visit <http://gcs.dowjones.com>

# 2012 Program

Tuesday, March 27, 2012

**7:30 am – 8:30 am:** Registration & Networking Breakfast

**8:30 am – 8:45 am:** Opening Remarks

**8:45 am – 9:35 am:**

**Steering Clear Of Trouble: How Much Can Companies Truly Control?**

Being a compliance officer or a general counsel for a global corporation is no easy task these days. Between the economy, increased competition and new rules and pitfalls, it has become increasingly tougher for companies to stay out of trouble. Our panelists discuss this difficult environment and the steps that they and their companies are considering and taking to avoid not only FCPA complaints, but other problems such as insider trading, inappropriate social media postings and anti-trust issues.

- **Ann Marie Hanrahan**, VP & Associate General Counsel, Global Compliance and Business Conduct, **3M Company**
- **Andy Hinton**, Associate General Counsel & Chief Compliance Officer, **Google Inc.**
- **Jo Levy**, Global Director, Legal Compliance, **Intel Corp.**

**9:40 am – 10:05 am:**

**Featured Interview**

- **George S. Canellos**, Regional Director, New York Office, **U.S. Securities and Exchange Commission**

**10:10 am – 10:25 am:** Networking Break

**10:30 am – 11:10 am:**

**Emerging Markets: What Can You Do To Make Sure Your Company Emerges Unscathed?**

If companies are going to succeed, then they need to be in emerging markets. But whether going into “established” emerging markets like China and Brazil, or newer emerging markets like Vietnam or Colombia, companies need to be prepared to deal with a host of issues, including the need to make payments to keep permit processes flowing. Our panelists give their guidebook on dealing with BRIC and frontier markets, the things that have worked and the things that haven't. They'll also discuss some of the obstacles that can trip up employees and companies.

- **Ty Cobb**, Partner, **Hogan Lovells\***
- **Francisco Hernandez**, General Counsel, Latin American and Caribbean, **Tyco International**
- **Neil Keenan**, Partner, **PricewaterhouseCoopers LLP\***
- **Jeffery Spalding**, Assistant General Counsel for Ethics & Compliance, **Halliburton**

**11:15 am – 11:45 am:**

**Featured Interview: Changing The Corporate Culture**

The desire to stay ahead of the competition. The need to keep profits and stock prices soaring. The quest to cover up personal problems. There are an array of reasons why corporations lose their ethical balance and find themselves in various states of trouble. There are steps, though, that corporations can take to right themselves. In this session, two people with familiarity with such scenarios, Suzanne Rich Folsom and Jon Shapiro, talk about what companies can and should do to change their culture.

- **Suzanne Rich Folsom**, SVP, Chief Regulatory & Compliance Officer and Deputy General Counsel, **ACADEMI LLC**
- **Jon Shapiro**, Assistant Vice President Program Management, **Aon Corporation**

**11:50 am – 12:40 pm:** Breakout Sessions

**BEST PRACTICES: Social Media: Ensuring That It Helps Rather Than Hurts Your Company**

Companies have embraced social media as a means to promote their companies. But they are still wary of employees using Facebook, Twitter and even LinkedIn to discuss their work or the company. Our panel discusses the message that companies try to give employees about social media and why they see the medium as a positive in the workplace rather than a complete negative.

- **Eileen Kett**, SVP, General Counsel (North America), **Club Med Management Services, Inc.**
- **Wade Leak**, SVP, Deputy General Counsel, **Sony Music Entertainment**
- **Harriet Pearson**, VP, Security Counsel & Chief Privacy Officer, **IBM**

\*Conference Sponsor

**THE WORLD: Africa**

Africa has been cited as the next-great place for companies to go, but the region also causes understandable concern for compliance officers. In this session, panelists with knowledge of the continent will discuss the countries that pose the greatest risk, the issues that trip up foreign companies, and the steps that companies must take to avoid trouble.

- **Enrique Aznar**, Head of Corporate Governance & Compliance and Chief Integrity Officer, **Millicom International Cellular**
- **Muhammed S. Essop**, Senior Compliance and Ethics Officer - Middle East and Africa, **Oracle**
- **Herbert A. Igbanugo**, Founding Shareholder, **Igbanugo Partners International Law Firm PLLC**
- **Karin B. Sinniger**, Vice President Legal, Angola Region, **BP**

**FINANCIAL SERVICES: Tracking The Cash: Are Your Anti-Money Laundering Policies As Strong As They Can Be?**

Banks, financial service companies and even private equity firms and hedge funds are under intense scrutiny to make sure they are not allowing drug traffickers, terrorists and others to use their services to illegally traffic cash. Our panelists discuss the steps they are taking to avoid such issues and also the increasing scrutiny being given by not only the U.S. government, but governments around the world, to this practice.

- **Yvette Hollingsworth**, Managing Director & Head, Financial Crime Compliance, **Barclays Capital**
- **Jeff Horowitz**, Managing Director & Chief AML and OFAC Officer, **Pershing LLC**
- **Lester Joseph**, International Investigations Manager, Financial Intelligence Unit, **Wells Fargo & Co.**
- **Tim O'Neal Lorah**, Managing Director, Global Head, Anti-Money Laundering Compliance, **Morgan Stanley**

**12:45 pm – 1:45 pm:** Networking Luncheon

**1:50 pm – 2:30 pm: Breakout Sessions**

**BEST PRACTICES: Compliance Technologies: What Do Companies Really Need To Monitor & Track Their Policies & Their Employees?**

There are numerous companies offering solutions for companies to construct and monitor their compliance program. But which are the right fit for your company? Our panel gives its view on the technologies that are out there, what makes sense now and what may make sense down the road.

- **James B. Brigham**, Vice President & Chief Compliance Officer, **Petco**
- **Bill Hauserman**, SVP, Bribery & Corruption Risk Management, **SAI Global \***

**THE WORLD: The First Year: The U.K. Bribery Act Has Proven...?**

The U.K. Bribery Act went into effect in the summer of 2011, creating concerns for any company doing business in the U.K. But it may have weighed even more on U.S. companies, many of whom saw the Act as running counter to some U.S. regulations. Now that the Act has been in effect for a year, our panelists give their view on what steps companies have taken to deal with it and what they've seen out of the Serious Fraud Office in terms of enforcing it.

- **Robert Brewer**, SVP & Chief Compliance Officer, **Office Depot**
- **Kate Jones Troy**, Group Financial Crime Director, Operational & Regulatory Risk, **Aviva plc.**
- **Marie Wilson**, Senior Manager of Office of Ethics and Compliance, **Symantec Corporation**

**FINANCIAL SERVICES: When The Government Says, “Stay Out...”**

There is always a list of countries that the U.S. government and others do not want to see companies do business in. Yet despite such warnings, companies still manage to end up there and face fines, like J.P. Morgan did last year. During this panel, you'll get a view of the countries that are on the sanctioned list, the steps that companies can take to avoid having trouble there, and what the likelihood is that any of them will soon be open for business.

- **Adam Kaufmann**, Executive Assistant District Attorney & Chief, Investigation Division, **The New York County District Attorney's Office**
- **Stevenson Munro**, Compliance Leader, Economic Sanctions & Anti-Corruption, **GE Capital**
- **Suzanne Williams**, Manager, Compliance Section, Division of Bank Supervision and Regulation, **Board of Governors of the Federal Reserve System**

## 2:35 pm – 3:15 pm: Breakout Sessions

### **BEST PRACTICES: The Board: What They Must Know & Do To Monitor Risk & Compliance**

Being a board member used to be seen as a cushy job. No more. Not only do board members need to be worried about governance and financial issues, they also need to have a deeper understanding of what the company is doing to manage risk and avoid ethical lapses or corruption issues. Our panel discusses what compliance officers need to be discussing with board members and also the questions that board members are asking or should be asking.

- **Kenneth Handal**, President, Governance, Risk, and Compliance, **Guidepost Solutions\***
- **Carol Ward**, VP, Corporate Secretary, **Kraft Foods**
- **Ed Petry**, VP, Ethical Leadership Group, **Global Compliance\***

### **THE WORLD: Putting In Place A Strong Global Antitrust Compliance Program: What Does It Take?**

The Department of Justice is serious about enforcing competition laws, having struck antitrust enforcement agreements with a host of countries, including China, Russia, Brazil and the EU. What this means is that executives in foreign countries need to be aware that they need to comply with not only U.S. competition laws, but those in other countries as well. Our panel discusses what companies must do to not get tripped up over these laws.

- **Martha Rees**, VP, Assistant General Counsel & Chief Antitrust Counsel, **DuPont**
- **Henry Thaggert**, Senior Counsel, Antitrust, **Northrop Grumman Corporation**

### **FINANCIAL SERVICES: Preventing Insider Trading: What Does It Take?**

The Securities & Exchange Commission has made insider trading a primary focus over the past several years and shows no signs of letting up. This means that companies need to do even more to make sure their employees and vendors are not passing along information about publicly traded stocks. Our panelists discuss how they try to prevent such an occurrence at their companies.

- **Eileen E. Ryan**, Compliance Director, **JP Morgan Chase**
- **Sam Draddy**, Director of Insider Trading Surveillance, **FINRA**

## 3:20 pm – 3:35 pm: Networking Break

## 3:40 pm – 4:30 pm:

### **Your Company Has Been Accused Of Violating The FCPA: Now What?**

Accusations that a company has violated the Foreign Corrupt Practices Act used to be rare. No more. With both the SEC and the DOJ getting more aggressive in enforcing the FCPA, companies large and small are finding themselves facing accusations. How companies deal with those charges can have a big impact on the government's investigation and subsequent recommendations for punishment. Panelists will give their perspective on what companies should and should not do in this situation.

- **Michael B. Mukasey**, Former U.S. Attorney General
- **Daniel Nardello**, Principal, **Nardello & Co.\***
- **Bruce E. Yannett**, Partner, **Debevoise & Plimpton LLP**

## 4:35 pm – 5:00 pm:

### **Featured Presentation: High Performance With High Integrity: Key Issues For Today**

- **Ben Heineman**, Senior Distinguished Fellow, **Harvard Law School**

## 5:05 pm – 5:45 pm:

### **The Internal Investigation: Ensuring That It Won't Leave You & The Rest Of The Company Sleepless**

Being able to have an alleged wrongdoing investigated properly is important for companies. It gives them a chance to not only understand the wrong and how it came to be, but also has to deal with prosecutors as well. But when the investigation goes off track, as it did for Renault last year, then it can lead to a host of problems. Our panel discusses the proper steps for handling an internal investigation and the checks and balances that should be put in place to make sure it is done right.

- **Doug Lankler**, SVP, Chief Compliance Officer, **Pfizer**
- **Richard Plansky**, Senior Managing Director & Head, New York Office, **Kroll\***

## 5:45 pm: Cocktail Reception

Wednesday, March 28, 2012

## 7:30 am – 8:30 am: Registration & Breakfast

## 8:45 am – 9:10 am:

### **Featured Interview**

- **Odell Guyton**, Senior Corporate Attorney, Director of Compliance, **Microsoft Corporation**

## 9:15 am – 10:05 am:

### **Beyond The Corporate Walls: Monitoring And Controlling Third Party Suppliers & Other External Factors**

Getting a product from the ground or the manufacturer to market involves a great deal of decisions, especially when dealing with external controls in the supply chain. Failing to keep a handle on third-party contractors, suppliers and other vendors can hurt companies significantly in not only the pocketbook, but with the government and the public. In addition, companies need to pay closer attention to how parties in the supply chain comply with sensitive issues regarding rare-earth minerals, the use of cheap labor, as well as the quest to maintain the environment. Our panel discusses the best way to work with such vendors and make sure they are remaining compliant and ethical and not getting themselves or, your company, in trouble.

- **Jeanine M. Jiganti**, Vice President, Chief Compliance Officer, **Takeda Pharmaceuticals North America**
- **T. James Min II**, Vice President, International Trade Law & Corporate Compliance, **DHL Americas**
- **Jay Martin**, Chief Compliance Officer, **Baker Hughes**
- **Ashley Watson**, VP, Chief Ethics and Compliance Officer, **Hewlett-Packard Co.**

## 10:10 am – 10:30 am: Featured Interview

## 10:35 am – 10:50 am: Networking Break

## 10:55 am – 11:45 am:

### **Hello DOJ?**

### **Is Your Company Really Ready For Whistleblowers?**

The new whistleblower provisions give employees financial incentive to report alleged wrongdoing directly to the government. Such a move could pose a host of issues for companies that have relied on self-reporting to lessen the punishment from violations of the FCPA and other misdeeds. Some six months after the provisions took effect, our panelists provide their views on what companies are doing right and what they could be doing better. They'll especially dive into what companies should and should not be saying to their employees about these provisions.

- **Julie Kane**, Vice President Ethics & Compliance, **Novartis Corporation**
- **Barbara H. Kipp**, Partner, Global Leader, Ethics & Business Conduct & Chief Privacy Officer, **PricewaterhouseCoopers LLP\***
- **Stephen M. Kohn**, Executive Director, **National Whistleblower Center**
- **Carolyn Renzin**, Managing Director, **Guidepost Solutions\***

## 11:50 am – 12:30 am: Breakout Sessions

### **BEST PRACTICES: "Two Compliance Officers Walk Into A Bar:" How To Enliven Corporate Compliance Training & Make It More Useful**

Every corporation does some form of compliance training, even if it's just having employees review a few documents online. But do employees really understand what is being presented and will they do the right thing if a situation does arise? In this entertaining session, our panelists will discuss some of the "fun" and "innovative" ways to get the correct compliance and ethics messages across.

- **Kathleen Edmond**, Chief Ethics Officer, **Best Buy**
- **Stephen Paine**, Managing Director, Global Head of Policies and Training, **Credit Suisse**
- **Alfred N. Rosa**, Director of Compliance & Senior Executive Counsel, **General Electric**

(CONTINUED ON THE NEXT PAGE)

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# 2012 Program

## THE WORLD: China: What Does Its Foreign Bribery Provision Really Mean For Your Company?

Doing business in China is a necessity, but it requires great caution. The fact that the country now has a foreign bribery provision only adds to the trepidation. Our panel discusses what this provision really means, how it may be enforced, and other issues that companies doing business in China need to be aware of.

- **Christopher Burnham**, Vice Chairman, Deutsche Asset Management, Deutsche Bank
- **Scott Lane**, Chief Executive Officer, The Red Flag Group\*

## 12:35 pm – 2:00 pm:

### Networking Luncheon & Featured Discussion: Fighting Corruption Through Public-Private Dialogue And Collective Action

Corruption is one of the greatest obstacles to economic prosperity and sustainable development. It distorts competition and undermines society's capacity to innovate. Building upon existing collective action efforts undertaken in various countries, this panel discussion will showcase how companies, civil society organizations, United Nations, governments and business associations have utilized collective action as a way to fight corruption. Specifically, panelists will share their experiences engaging collective action initiatives in emerging markets that the United Nations Global Compact have been implementing together with its partners.

- **Jorge Abrahão**, President, Instituto Ethos de Empresas e Responsabilidade Social
- **A. K. Balyan**, Managing Director & Chief Executive Officer, Petronet LNG Ltd.
- **Georg Kell**, Executive Director, UN Global Compact
- **Sabine Zindera**, Vice President, Corporate Legal and Compliance, Siemens AG

## 2:05 pm – 2:55 pm: Breakout Sessions

### BEST PRACTICES: About Those Gifts...

Compliance officers get a lot of questions, but often they relate to one topic: Gifts. Wanting to make a good impression, employees are interested in giving something to people they are doing business with or would like to do business with. But the sky is clearly not the limit. There also are questions related to corporate dinners, alcohol and even at events where a gift may be raffled off and government officials may be in the audience. Our panel takes us through some of the thorniest questions and situations and provides views on how companies should be thinking/discussing and monitoring gift giving.

- **Luiza Wilson**, Senior Ethics and Compliance Attorney, AES
- **Marie Wilson**, Senior Manager of Office of Ethics and Compliance, Symantec Corporation

### THE WORLD: Russia: To Avoid Trouble Companies Must Do What?

Russia represents a great opportunity for companies. But clearly there are risks in doing business in the country. Our panelists give their perspectives on this always intriguing country, the issues that companies have faced or may face and the steps that they must take to avoid trouble.

- **Don Hughes**, General Counsel- EMEA, Hitachi Data Systems

## 3:00 pm – 3:50 pm:

### The FCPA: What Can & Should Be Done To It?

The Foreign Corrupt Practices Act has garnered more attention in recent years as prosecutions have increased. Everyone from corporations to congressmen to the U.S. Chamber of Commerce has chimed in with changes that should be made to the Act. Our panelists give their unique perspectives on this question and describe what is likely to happen.

- **Mike Koehler**, Assistant Professor of Business Law, Butler University and Author, FCPA Professor Blog
- **Mark F. Mendelsohn**, Partner, Paul, Weiss, Rifkind, Wharton & Garrison LLP
- **Michael B. Mukasey**, Former U.S. Attorney General

## 3:50 pm: Conference Concludes



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### Nardello & Co

Nardello & Co. is an international investigations firm that provides a broad range of services, including FCPA and anti-corruption due diligence and investigations, litigation support and corporate fraud investigations. Our professionals – including former US prosecutors; US, UK and Italian lawyers; US and foreign law enforcement personnel; skilled investigators; research analysts and forensic accountants – uncover the critical information our clients need. <http://www.nardelloandco.com/home>



### Kroll

Kroll, the world's leading risk consulting company, provides a broad range of investigative, intelligence, financial, security and technology services through offices in 55 cities across 27 countries. Headquartered in New York, Kroll serves a global clientele of law firms, financial institutions, corporations, non-profit institutions, government agencies and individuals. Kroll is an Alteryx Company. <http://www.kroll.com/>



### Guidepost Solutions

Guidepost Solutions is a recognized leader in compliance consulting and corporate integrity monitoring, international investigations, and risk management solutions. Guidepost helps companies, government agencies, individuals, and their counsel solve problems, advance business opportunities, mitigate risks, and resolve disputes. <http://guidepostsolutions.com/>



### Global Compliance

Serving over 4,300 clients around the world, Global Compliance is the leading provider of comprehensive and integrated ethics and compliance solutions. Our offerings include Ethics Hotlines; Online and Instructor-led Training; Expert Advice from The Ethical Leadership Group™ – our in-house team of business ethics consultants; Performance and Benchmarking; and solutions to minimize Third Party Risk. <http://www.globalcompliance.com/>



### SAI Global

SAI Global provides the tools, technology and expertise to help organizations effectively and efficiently build and enhance an ethical culture, and manage compliance obligations and policies as a business process. SAI Global alone integrates GRC components such as third party due diligence, case management, policies and gifts & hospitality controls with compliance learning and communication. <http://www.saiglobal.com/>



### The Red Flag Group

The Red Flag Group is a leading corporate governance and compliance firm providing thought leadership to Fortune 500 companies. We aim to help companies develop and successfully integrate effective governance and compliance programmes through our advisory, due diligence and technology solutions. <http://www.redflaggroup.com/>



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## VENUE INFORMATION

# Park Hyatt Washington

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We have negotiated an exclusive attendee rate of \$349 plus taxes per night. To reserve a room, call reservations at 202-789-1234 before Friday, February 24, and ask for a room from the Dow Jones Conferences room block. After this date, we cannot guarantee this rate will be honored.





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Navigating Rapid Change

March 27 & 28, 2012 | Park Hyatt | Washington DC

**Join top compliance and ethics officers,** general counsels and leading government officials for two days of unparalleled networking and learning, led by top editors from Dow Jones.

**Gain expertise in the toughest areas facing global compliance and legal professionals today,** from emerging markets and the FCPA to whistleblower protections, compliance training, and much more.

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