

CT Summation CaseVault Precision FAQs

Q: CT Summation CaseVault Precision – What is it?

A: CaseVault Precision is Intelligent Case Assessment and is part of CT Summation's hosted eDiscovery service joining intelligent technology with professional expertise to provide a prioritized cost-effective analysis and review strategy for all matters.

Q: What does it do?

A: CaseVault Precision eliminates many of the challenges from continually increasing volume of data by focusing on the most relevant documents pertaining to the matter. In the end it accelerates the legal review process and also increases the responsiveness of the legal teams tasked with meeting tight deadlines and client requests.

Q: Is CaseVault Precision software or a service?

A: CaseVault Precision is an accelerated review service, hosted on the review platform and bolstered by expert project management. We offer CaseVault Precision as a process-based solution, not a software product.

Q: What is Intelligent Case Assessment and how does it fit in with CaseVault Services?

A: CaseVault Precision features new Intelligent Case Assessment technology. Based on initial input from a matter expert (lead attorney or lead counsel), this service uses statistical and self-learning techniques to calculate relevance scores for each document in the data collection providing a prioritization of the responsiveness of every document in your data set. The high priority documents will be the most relevant and can be reviewed more effectively and efficiently by your legal team.

CaseVault Precision is integrated into the CaseVault hosted platform providing a seamless matter workflow.

Q: How does prioritizing the documents of a matter help me?

A: Prioritization enables your team to establish strategy faster, focus expert knowledge where it is needed most, reduce the time and costs associated with review, and spend more time focused on matter outcome.

Q: Why do I want to use Intelligent Case Assessment?

A: By automatically prioritizing documents according to relevance, CaseVault Precision drives value throughout your eDiscovery workflow by delivering:

1. “True” early case assessment: CaseVault Precision facilitates rapid assessment of the key issues and concepts in a case, not just filtering.
2. Smarter Culling: CaseVault Precision achieves high levels of recall and precision, helping overcome the challenges of over- and under-inclusion that characterize traditional keyword methods.
3. Review prioritization: By organizing the review set according to relevance rankings, CaseVault Precision enables prioritization of document review. This allows attorneys to immediately focus on the most relevant documents.
4. Review quality assurance: By identifying discrepancies in the responsiveness designations, CaseVault Precision vis-a-vis the human review team, finds responsive documents missed in the detail review. Similarly, the discrepancies can be used to locate documents incorrectly marked by the human review team as responsive.

Q: Is CaseVault Precision Early Case Assessment (ECA)?

A: No, CaseVault Precision is much more robust than ECA and allows you to more accurately find and review the most important documents first, so you can truly assess the merits and issues of your case.

Q: How does the CaseVault Precision service work?

A: There are three phases to the service: Reduction, Prioritization and Review. In the reduction phase we process the client’s data set in order to de-duplicate and de-NIST the documents, OCR image files, and extract embedded files while maintaining custodian information. This will ensure all documents can be reviewed and eliminates unnecessary files.

The Intelligent Case Assessment (ICA) phase begins with 40 documents being selected at random and presented to a designated expert reviewer. The expert determines whether each document is “relevant” or “not relevant” and marks each accordingly. Upon completion, an analysis of the relevant and not relevant documents is made by the system and 40 more documents are selected and presented to the reviewer. But this time the documents that are selected are based on what was determined relevant and not relevant in the preceding sample. It typically takes about 40 to 60 samples of 40 documents each before the accuracy is better than if all documents had been reviewed. This means that in a typical review the reviewer will review a total of between 1,600 and 2,400 documents regardless of how many actual documents exist.

Upon completion, the review phase begins with each document in the collection is assigned a relative weight from most relevant to least relevant. The documents, along with their relevance finding and their relevance weight, are all accessible in the CaseVantage review platform for the final review phase. The case team is now has a set of prioritized documents from most relevant

to least relevant for “true” early case assessment or simply to prioritize their review effort. Bottom line, this typically yields a huge attorney review cost savings.

Q: How does CaseVault Precision use what was previously marked relevant and irrelevant to find the next batch of documents?

A: The system performs an analysis of the content and word structure of each document that is marked relevant and each document that is marked irrelevant. It then goes out and retrieves documents that fit into both categories and presents the new batch of 40 for review. This retrieval method becomes richer as more samples are performed. The results are astonishing and clearly more accurate than keywords. For example, we performed a review of a subset of tobacco litigation documents. Anything related to marketing was deemed relevant. We discovered that one of the key terms that emerged in the relevant collection was the term “\$104.” Obviously, this is not a key term someone would typically search for and would have been missed in a key term approach. After we looked into it, we realized that the reason this was an important word to the system was because it was a special price point for a marketing promotion. The system picked up on this and appropriately tagged it as an important word in identifying the relevant collection.

Q: Is CaseVault Precision a defensible process?

A: Yes, it is defensible for the following reasons:

1. Our process is transparent, and we document a full audit trail.
2. The classification engine at work is widely accepted in academic circles as yielding far more accurate results than keyword based search approaches.
3. Key term based approaches have been criticized by the judiciary as being inaccurate and that there’s a need for expertise and better technology...see *Creative Pipe v. Victor Stanley*.
4. Key term based search engines are widely reported as yielding insufficient results in information retrieval and legal circles. This is supported by studies from the Sedona Conference.
5. Considering all of the above, especially points three and four, this process is far more defensible than the current standard of key term based review.

Q: What is the cost savings of using the CaseVault Precision service?

A: Typically, firms using CaseVault Precision will yield a 25% to 50% cost savings in the review process.

Q: In addition to CaseVantage, can the results of CaseVault Precision be loaded into iBlaze or Enterprise?

A: Absolutely. Our consultants will ensure your database is set up to accommodate the additional data fields created by CaseVault Precision and will provide their recommendations on structure and workflow.

Q. Can CaseVault Precision results be put into a third party system?

A. It is possible under certain circumstances to load CaseVault Precision data into other systems. If you are interested in this capability, we will have one of our eDiscovery consultants follow up with you to assess what is required.

Q: If I license CaseVantage, bring it in house and host cases myself, will I be able to purchase CaseVault Precision too?

A: No, CaseVault is not a standalone product. Only CaseVault Services can process the data through Precision. However, you are able to load the rankings on your CaseVantage System.